



Chinese Finance Association of America

美国华人金融协会

*Chinese Finance Association of America cordially invites you to join us for
a panel discussion on the topic of*

“How to Make a Successful Career Transition into the Finance Industry”

Given the rapid changes and growth of the global and Chinese finance industries and capital markets in recent years, there is an increased demand for talent with a diverse background, as well as an increased desire from the non-finance professionals to switch or transition in to the finance industry. CFAA welcomes you to join this event which was specifically designed for those who are considering or are already involved in the process of career transition or initiation into the finance industry. You will learn from our featured panelists who come from a variety of fields within the finance industry, as well as hear their valuable advice and personal experiences related to making a successful career transition. They will also discuss the necessary skills and strategies related to career change and share their thoughts and views on how to develop a suitable career path and achieve success.

Featured Panelists:

- Anlong Li, Ph.D., Director of Financial Engineering, Spot Trading LLC
- Harry Gao, CFA, Investment Analyst at Institutional Capital LLC.
- Sam Yan, Quantitative Analyst at Morningstar Investment Services (MIS), Inc.

Tuesday, December 7, 2010

5:15 p.m. - 7:00 p.m.

The Union League Club of Chicago
Colonial Room 830, 8th Floor
65 West Jackson Blvd
Chicago, Illinois

Agenda: 5:15 – 5:30pm Registration
5:30 – 6:00pm Networking
6:00 – 6:40pm Panel Discussion
6:40 – 7:00pm Q&A

Fee: CFAA Member – \$8; Non-CFAA Member with online payment - \$13; Walk-ins - \$18

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- Note:**
- Business casual attire is required, shirts with collar, no jeans or sneakers allowed.
 - No refunds will be issued unless the event is canceled.
 - CFAA members are defined as those who submitted CFAA membership forms and fees with confirmation from CFAA Board of Directors.

Speakers' bio:

Anlong Li, Ph.D., Director of Financial Engineering, Spot Trading LLC

Dr. Li is currently Director Financial Engineering at Spot Trading LLC. Prior to joining Spot Trading, he was Head of Quantitative Analytics for Emerging Market Credit and MBS at Barclays Capital. He started his Wall Street career on swap desks at Lehman Brothers and Salomon Brothers. He was First Vice President and Head of Derivatives Modeling at First Chicago, Senior Vice President and U.S. Head of Structured Product Trading at ABN AMRO, Director in Quantitative Research at Citadel Investment Group, and Managing Director and Head of Research at XL Weather and Energy. He also served as a Research Fellow at the U.S. Federal Reserve and an Adjunct Professor in the Financial Engineering Program at Columbia University. Dr. Li received his Ph.D. in Operation Research from Case Western Reserve University in 1992.

Harry Gao, CFA, Investment Analyst at Institutional Capital LLC

Mr. Gao is a Senior Vice President and investment analyst at Institutional Capital LLC (ICAP). He specializes in the analysis and stock recommendations for the global consumer durables and finance sectors, and also focuses on investment opportunities in Asian pacific regions. Before joining ICAP in 2006, Harry was employed with Chartered Semiconductor Manufacturing Company as an engineer in Singapore. He earned a bachelor's degree in engineering from Tianjin University and has a master's degree in engineering from Singapore - MIT Alliance and an MBA from the University of Chicago. He is a CFA charter holder.

Sam Yan, Quantitative Analyst at Morningstar Investment Services, Inc.

Sam Yan is quantitative analyst at Morningstar Investment Services (MIS), Inc., a registered investment advisor and wholly owned subsidiary of Morningstar, Inc.. In this role, Yan is responsible for tracking and managing performance and portfolio data, and implementing various investment research projects for MIS. Yan joined Morningstar, Inc. in April 2000 as a database programmer. Prior to that, he was a software developer with Morningstar Associates. Yan holds a bachelor's degree in civil engineering from Tsinghua University, China, a master's degree in computer science from the University of Illinois at Springfield, and is a CFA charter holder.

About CFAA

Chinese Finance Association of America (CFAA) is an independent not-for-profit, non-partisan organization committed to promoting educational and cultural exchange among finance professionals between the United States and Greater China through facilitating communication and the exchange of ideas in the financial industry. Incorporated and headquartered in Chicago, Illinois, CFAA is aimed to serve Chinese finance professionals and others who are interested in the financial industry of Greater China. Please visit our website www.ChineseFinanceAssociation.org for more information about CFAA. To become a CFAA member, please fill out the [membership application form](#) online. If you are interested in the volunteering opportunities at CFAA, please submit the [volunteer and staff application form](#). For global career opportunities in finance, please visit our newly launched [Online Job Center](#) through our partnership with one of the leading global career placement companies eFinancialCareers.com.